

## INDICATIVE AUDIT COMMITTEE WORKPLAN 2014/15

**NOTE :-** Proposed substantive items to be presented and discussed have been put in **bold**.  
Proposed *information* items that will not be presented are in *italics*  
Standing 'business' items are in normal font

\* Awareness / Briefing Session to be held prior to the meeting

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
1	Wednesday 11th June 2014	<ul style="list-style-type: none"> <li>Internal Audit Annual Report 2013/14</li> <li>Annual Review of the Effectiveness of Internal Audit 2013/14</li> <li>External Audit Grants Letter 2013/14</li> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>Risk Management Annual Report 2013/14</li> </ul>	<ul style="list-style-type: none"> <li>Draft Statement of Accounts 2013/14</li> <li>Corporate Finance Summary 2013/14</li> </ul>	<ul style="list-style-type: none"> <li>Annual Fraud Report 2013/14</li> <li>Draft Audit Committee Annual Report 2013/14</li> <li>Audit Committee Review of Draft Terms of Reference and Self-Assessment</li> <li>Recruitment of Independent Members</li> <li>Audit Committee workplan 2014/15</li> </ul>
2	Wednesday 16th July 2014	<ul style="list-style-type: none"> <li>Internal Audit Quarterly Report 2014/15 (Qu.1)</li> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>		<ul style="list-style-type: none"> <li>Treasury Management Annual Report 2013/14</li> <li>Corporate Finance and Performance Management &amp; Capital Programme Update @ June</li> </ul>	<ul style="list-style-type: none"> <li>Draft Annual Governance Statement 2013/14</li> <li>Audit Committee Annual Report 2013/14</li> <li>Audit Committee Terms of Reference and Self-Assessment</li> <li>Audit Committee workplan 2014/15</li> </ul>
3	Friday 19th September 2014	<ul style="list-style-type: none"> <li>External Audit – Report to those charged with governance (ISA 260) 2013/14</li> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>		<ul style="list-style-type: none"> <li>Annual Governance Statement 2013/14 and Review of Action Plan</li> <li>Audit Committee workplan 2014/15</li> </ul>	
4	Wednesday 5th November 2014	<ul style="list-style-type: none"> <li>Internal Audit Quarterly Report 2014/15 (Qu.2)</li> <li>External Audit - Annual Audit Letter 2013/14</li> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>Strategic Risk Register Review</li> </ul>	<ul style="list-style-type: none"> <li>Corporate Finance and Performance Management &amp; Capital Programme Update @ Sept</li> </ul>	<ul style="list-style-type: none"> <li>Prosecutions Policy Review</li> <li>RIPA Update Report</li> <li>Audit Committee workplan 2014/15</li> </ul>
5	Wednesday 10th December 2014	<ul style="list-style-type: none"> <li>Review of the Effectiveness of Internal Audit – Action Plan Update</li> <li>Internal Audit Recommendations Implementation</li> </ul>	<ul style="list-style-type: none"> <li>Risk Management Update Report</li> </ul>		<ul style="list-style-type: none"> <li>Annual Governance Statement 2013/14 Action Plan Update</li> <li>Review of Ombudsman</li> </ul>

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6	Wednesday 21st January 2015	<p><b>Update</b></p> <ul style="list-style-type: none"> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul> <p><b>Internal Audit Quarterly Report 2014/15 (Qu.3)</b></p> <ul style="list-style-type: none"> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>			<p><b>Complaints 2013/14</b></p> <ul style="list-style-type: none"> <li>Fraud Management Update Report</li> <li>Audit Committee workplan 2014/15</li> </ul> <p><b>Annual Governance Review 2014/15</b></p> <ul style="list-style-type: none"> <li>Local Code of Corporate Governance</li> <li>Review of the Corporate Whistleblowing Policy</li> <li>Audit Committee workplan 2014/15</li> </ul>
7	Wednesday 25th March 2015	<ul style="list-style-type: none"> <li>Internal Audit Charter and Strategy</li> <li>Internal Audit Plan 2015/16</li> <li>External Audit – Claims and Returns Annual Report 2013/14</li> <li>External Audit – 2014/15 Audit - Audit Plan</li> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>Strategic Risk Register Review</li> </ul>	<ul style="list-style-type: none"> <li>Treasury Management Policy &amp; Strategy Statements 2015/16</li> <li>2015/16 Budget Proposal Section 25 Report</li> <li>Corporate Finance and Performance Management &amp; Capital Programme Update @ Dec</li> </ul>	<ul style="list-style-type: none"> <li>Information Governance Update Report</li> <li>Audit Committee workplan 2014/15</li> </ul>
8	Wednesday 22nd April 2015	<ul style="list-style-type: none"> <li>Internal Audit Quarterly Report 2014/15 (Qu4)</li> <li>External Audit – Progress Report &amp; Technical Update</li> <li>Private item with the Internal Auditor and External Auditor (as required)</li> </ul>	<ul style="list-style-type: none"> <li>Risk Management Policy &amp; Strategy</li> </ul>		<ul style="list-style-type: none"> <li>Corporate Whistleblowing Policy Update and Annual Report</li> <li>Audit Committee Review of Terms of Reference and Self-Assessment</li> <li>Audit Committee – Training Review and Skills Self-Assessment</li> <li>Audit Committee workplan 2014/15</li> </ul>

**To Note**

- Further Audit Committee meeting have been planned for the following dates. A workplan will be issued nearer to the date.
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  - 22nd July 2015
  - 18th September 2015 - Friday @ 2.00pm
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